



PUTTING PEOPLE FIRST

**GUIDELINES FOR SUBMISSION
OF
THE CITY OF BIRMINGHAM'S**

PY 2021 CONSOLIDATED FORMULA ALLOCATION

July 1, 2021 - June 30, 2022

The following format is provided to assist agencies/organizations in the preparation of proposals for funding consideration under the U.S. Department of Housing and Urban Development's Consolidated Strategy and 2021 Action Plan (CDBG, ESG, HOPWA and HOME).

All items should be addressed in detail so as to provide staff with enough information from which to adequately review your proposal. Your project must fit into the community priorities set forth by the Consolidated Plan. Please note that if you currently are receiving funds from one of these programs you are still required to apply for further funding.

Please include the submitting agency's name and address on the face of your proposal. Also please provide the name and phone number of a person to be contacted in the event that additional information is needed relative to the content of your proposal. Please supply an original and two copies of each proposal if mailed.

You may send completed proposals (email preferred) and comments to the attention of:

**Chris Hatcher, Interim Director
Proposed Action Plan Response
Community Development Department
710 North 20th Street
Room 1000 - City Hall
Birmingham, Alabama 35203
housingandcommunityinfo@birminghamal.gov**

Public Hearings will be held in a virtual format on December 14, 2020 and January 20, 2021 at 9:30 a.m. and 5:30 p.m. Please call the Community Development Department at 254-2309 if you have questions.

Deadline for submission of proposals is Friday, January 29, 2021, 4:00 p.m. in the Community Development Department - 10th Floor City Hall.

REQUEST FOR FUNDING APPLICATION
under the
CITY OF BIRMINGHAM'S
PY 2021 CONSOLIDATED FORMULA ALLOCATION

Please review the listing of eligible activities provided as an attachment. Place a check mark (✓) by the program component under which you are applying.

- Community Development Block Grant (CDBG)**
- Emergency Solutions Grant (ESG)**
- Home Investment Partnerships (HOME)**
- Housing Opportunities For Persons With Aids (HOPWA)**
AIDS Alabama, Inc., formerly known as AIDS Task Force of Alabama, presently serves as the City's sponsor of HOPWA Entitlement Funds. A description of proposed activities will be included as a part of the City's PY 2021 Proposed Action Plan which will be made available for citizen review and comment at a later date. However, comments and suggestions relating to the possible overall use of HOPWA funds by the City in accordance with eligible activities are encouraged.

Date: _____

Submitted By: _____

Title: _____

Agency: _____

Address: _____

Mailing Address of Record: _____

Telephone: _____ **Fax:** _____

Contact Person: _____ **Email Address:** _____

Amount Requested: _____

Project Title: _____

Brief Description of Project: _____

Physical Location of Proposed Project: _____

For clarity and consistency, the City encourages you to complete your application in accordance with the following outline:

I. Please provide a summary of your agency’s prior experience over the past five years in administering CDBG, HOME, ESG, HOPWA or other federal funding. Please provide the name and telephone number of a contact person from any of these sources.

II. NARRATIVE

A. Project Summary

1. Introduction:

Discuss in detail the proposed program or activity for which CDBG, HOME, ESG or HOPWA assistance is requested. Outline the need/problem in accordance with the listing of eligible activities provided as an attachment and state how your organization plans to address it. Discuss how the program or activity will address the goals and objectives of the Consolidated Plan.

2. Statement of Work:

List the overall performance goals and outcome measures of the proposed program/activity. State in specific terms what is expected to be accomplished over a 12-month period.

List the objectives of the proposed program/activity. Objectives should address steps to be taken within a 12-month period to accomplish the above listed goals and stated in quantifiable, measurable terms.

Please provide job descriptions of all personnel involved in the delivery of proposed services.

How will your agency coordinate with other agencies?

3. Proposed Twelve (12) Month Program Budget:

Prepare a line-item budget and budget narrative. Indicate the total amount of CDBG, HOME, ESG or HOPWA funds requested. Provide, by line-item documentation of anticipated costs, detailing the manner in which funds will be spent. If applicable, include cost per client.

Does your agency/organization currently receive CDBG, HOME, HOPWA or ESG funds? If yes, please provide a summary of how your agency has demonstrated effectiveness in meeting targets and complying with contract.

_____ yes

_____ no

- a. **Administrative Costs**
These are items such as staff salaries (total or a percentage) for positions providing a direct service, fringe benefits, employment taxes, etc. List each line entry separately.
- b. **Operational Costs**
These are expenses necessary to support the daily operation and overall management of the proposed program/activity.
- c. **Program Audit Cost**
If approved as a CDBG, HOME or HOPWA Subrecipient, a complete financial audit of program funds is required at the end of the 12-month period. The audit must be prepared by a Certified Public Accountant. Include a cost estimate for this service in your proposed budget. Please indicate if audit expense is either included in this CDBG or HOME request, absorbed by the agency, or an in-kind service to the agency.

HOPWA Project Sponsor applicant will estimate the anticipated line-item budget necessary to implement the proposed activity. A final line-item budget will be provided by HUD upon approval of the submitted application.
- d. Include a detailed budget of projected expenditures for the proposed activity and a separate budget showing how this proposed activity would fit into the over-all organization's budget.
- e. In the event that an organization wishes to submit proposals for multiple projects and/or activities, a complete separate proposal, with all required attachments should be submitted for each activity. For example, if an agency wishes \$15,000 for assistance with rapid re-housing costs and \$25,000 to provide homeless prevention, and \$20,000 for street outreach, three (3) full, separate proposals should be submitted. This will enable reviewers to evaluate each proposed service/activity independent from others. Agencies may wish to indicate their priorities among multiple proposals.
- f. **(ESG Only)** Documentation to support match requirements. Funding under these grants will require that each applicant supplement its grant with equal amounts of matching funds from sources other than ESG. In calculating the match amount, the following may be utilized:

1. Value of any donated material or building.
2. Value of any lease on a building.
3. Any salary paid to staff in carrying out ESG programs.
4. Value of Volunteer hours for services.
5. Other grants or monetary donations.

g. Rules and Regulations that govern this program may be obtained from the Housing & Community Development Department.

B. Agency Information

Background:

Provide a brief narrative outlining the organization's overall purpose; goals and objectives; services offered, and target population served. Include the length of time the agency has been in operation, the date of incorporation, the purpose of the agency, and the type of corporation. Discuss past accomplishments and the impact these efforts have made on target population. State if your organization has experience with the Community Development Block Grant Program or other federal programs/grants.

Personnel:

Briefly describe the agency's existing staff positions and qualifications. State whether or not the agency has an established personnel policy with an affirmative action plan and grievance procedures.

Financial:

Please provide a copy of the agency's current line item operating budget. This budget should identify all other funding sources. Describe the agency's fiscal management system including financial reporting, record keeping, accounting systems, payment procedures, and audit requirements. Also, indicate whether you have personnel capable of performing the financial management of these grant funds.

III. REQUIRED DOCUMENTS (CDBG and ESG)

The following should be submitted with proposal:

Articles of Incorporation/Bylaws

Articles of Incorporation are documents recognized by the State as formally establishing a private corporation, business or agency.

Non-Profit Determination

Non-profit organizations must submit tax-exemption determination letters from the Federal Internal Revenue Service.

List of the Board of Directors

A list of the current board of directors or other governing body of the agency must be submitted. The list must include the name, telephone number, address, and identification of principal officers.

Policies and Procedures

Established procedures to explain rules and regulations, conflict of interest, employee and lines of authority within agency, procurement, affirmative action plan and grievances procedures, etc.

Organizational Chart

An organizational chart must be provided which describes the agency's administrative framework and staff positions. Indicate where the proposed CDBG project will fit into the organizational structure. Identify any staff position(s) whose total salary shall be shared between CDBG and other funding sources. State what percentage of time will be devoted to the CDBG supported activity.

Most Recent Financial Audit Report

IV. APPLICATION EVALUATION

Applications will be evaluated based on, but not limited to, the following categories:

Community Need – Does the grant address goals and objectives expressed in the Consolidated Plan

Program Description & Effectiveness – Is the proposal realistic, clearly defined and measurable

Agency Management – Does the agency maximize resources in a well-run, coordinated effort

Readiness to proceed – Timeframe to initiate program services and expend funds

Partnership/Collaboration – Relationship with other agencies and leveraging of funds

- 1. Project Description.....15 points
- 2. Community Need35 points
- 3. Agency Management.....10 points
- 4. Readiness to proceed.....20 points
- 5. Project budget.....10 points
- 6. Partnership/Collaboration.....5 points
- 7. Complete Application.....5 points

ATTACHMENT

Eligible Range of Activities

Summarized below is a generalized listing of the range of activities that may be undertaken:

Community Development Block Grant (CDBG):

- 1. Public improvements such as streets, curbs, gutters, sidewalks, parks, playgrounds, water and sewer facilities, flood and drainage improvements, community, senior and health centers, homeless shelter improvements, etc.**
- 2. Housing rehabilitation.**
- 3. Administration.**
- 4. Public service activities including homeless shelter and other public service activities.**
- 5. Neighborhood revitalization.**
- 6. Commercial Revitalization/Economic Development/Workforce Development.**
- 7. Historic Preservation**

Home Investment Partnerships (HOME):

- 1. Administration and Community Housing Development Organization (CHDO) activities.**
- 2. First-Time Homebuyer Assistance.**
- 3. New Construction (Single & Multi-Family).**
- 4. Rental Rehabilitation (Single & Multi-Family).**

Emergency Solutions Grant (ESG):

- 1. Street Outreach activities.**
- 2. Emergency Shelter activities.**
- 3. Homeless Prevention activities.**
- 4. Rapid Re-housing assistance.**
- 5. Homeless Management Information System (HMIS) (to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney-Vento Act).**

Priority will be given to neighborhood revitalization, economic/workforce development and homeless services.

City of Birmingham

Community Development 2021 Action Plan Public Hearing

Monday, December 14, 2020 @ 9:30 AM

Event address for attendees:

<https://birmingham.webex.com/birmingham/onstage/g.php?MTID=e69d335f0200bd8e6e5baa4de97df1b9e>

Video Address: 1466973600@birmingham.webex.com
You can also dial 173.243.2.68 and enter your meeting number.

Audio conference: United States Toll
+1-415-655-0002
[Show all global call-in numbers](#)
Access code: 146 697 3600

Monday, December 14, 2020 @ 5:30 PM

Event address for attendees:

<https://birmingham.webex.com/birmingham/onstage/g.php?MTID=e0548bf6ea18a2f90544d817639f05a89>

Video Address: 1467038343@birmingham.webex.com
You can also dial 173.243.2.68 and enter your meeting number.

Audio conference: United States Toll
+1-415-655-0002
[Show all global call-in numbers](#)
Access code: 146 703 8343

Wednesday, January 20, 2021 @ 9:30 AM

Event address for attendees:

<https://birmingham.webex.com/birmingham/onstage/g.php?MTID=e04232138e2dadeb885e46b3bd4f5f1fd>

Video Address: 1469275765@birmingham.webex.com
You can also dial 173.243.2.68 and enter your meeting number.

Audio conference: United States Toll
+1-415-655-0002
[Show all global call-in numbers](#)
Access code: 146 927 5765

Wednesday, January 20, 2021 @ 5:30 PM

Event address for attendees:

<https://birmingham.webex.com/birmingham/onstage/g.php?MTID=e6f67c7d9045561358f85b71a46ce5210>

Video Address: 1460541976@birmingham.webex.com
You can also dial 173.243.2.68 and enter your meeting number.

Audio conference: United States Toll
+1-415-655-0002
[Show all global call-in numbers](#)
Access code: 146 054 1976